SERFF Tracking Number: ZURC-125773342 State: Arkansas Empire Fire and Marine Insurance Company State Tracking Number: EFT \$50 Filing Company:

Company Tracking Number: CW CF 27615

TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

CW CF 27615 Product Name:

Project Name/Number:

Filing at a Glance

Company: Empire Fire and Marine Insurance Company

Product Name: CW CF 27615 SERFF Tr Num: ZURC-125773342 State: Arkansas

TOI: 01.0 Property SERFF Status: Closed State Tr Num: EFT \$50

Sub-TOI: 01.0001 Commercial Property (Fire Co Tr Num: CW CF 27615

and Allied Lines) received

Filing Type: Form Reviewer(s): Betty Montesi, Co Status: Not Applicable

Llyweyia Rawlins

State Status: Fees verified and

Author: Dannielle Curry Disposition Date: 08/15/2008 Date Submitted: 08/14/2008 Disposition Status: Approved

Effective Date Requested (New): 11/01/2008 Effective Date (New): 11/01/2008

Effective Date Requested (Renewal): 11/01/2008 Effective Date (Renewal):

11/01/2008

State Filing Description:

General Information

Status of Filing in Domicile: Project Name: **Project Number: Domicile Status Comments:**

Reference Organization: Reference Number: Reference Title: Advisory Org. Circular:

Filing Status Changed: 08/15/2008

Deemer Date: State Status Changed: 08/15/2008

Corresponding Filing Tracking Number:

Filing Description:

We are filing to make all morgagee/loss payee schedules consistent for property policies. The current space on the declarations pages are frequently not large enough to list all morgagees/loss payees.

Company and Contact

Company Tracking Number: CW CF 27615

TOI: 01.001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number: /

Filing Contact Information

Dannielle Curry, Filing Analyst dannielle.curry@zurichna.com

1400 American Lane (847) 706-2411 [Phone] Schaumburg, IL 60196 (847) 605-7768[FAX]

Filing Company Information

Empire Fire and Marine Insurance Company CoCode: 21326 State of Domicile: Nebraska

13810 FNB Parkway Group Code: 212 Company Type:
Omaha, NE 68154-5202 Group Name: State ID Number:

(402) 963-5000 ext. [Phone] FEIN Number: 47-6022701

Filing Fees

Fee Required? No Retaliatory? No

Fee Explanation:

Per Company: No

COMPANY AMOUNT DATE PROCESSED TRANSACTION #

Empire Fire and Marine Insurance Company \$50.00 08/14/2008 21939634

Company Tracking Number: CW CF 27615

TOI: 01.00 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number:

Correspondence Summary

Dispositions

| Status | Created By | Created On | Date Submitted |
|----------|------------------|------------|----------------|
| Approved | Llyweyia Rawlins | 08/15/2008 | 08/15/2008 |

SERFF Tracking Number: ZURC-125773342 State: Arkansas
Filing Company: Empire Fire and Marine Insurance Company State Tracking Number: EFT \$50

Company Tracking Number: CW CF 27615

TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number: /

Disposition

Disposition Date: 08/15/2008

Effective Date (New): 11/01/2008 Effective Date (Renewal): 11/01/2008

Status: Approved

Comment:

Rate data does NOT apply to filing.

Company Tracking Number: CW CF 27615

TOI: 01.00 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number:

| Item Type | Item Name | Item Status | Public Access |
|---------------------|--------------------------------|-------------|---------------|
| Supporting Document | Uniform Transmittal Document-I | Yes | |
| 0 | Casualty | | |
| Supporting Document | Filing Memo | Approved | Yes |
| Form | Loss Payee Schedule | Approved | Yes |
| Form | Mortgagee Schedule | Approved | Yes |

Company Tracking Number: CW CF 27615

TOI: 01.00 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number:

Form Schedule

| Review Status | Form Name | Form # | Edition Date | Form Type Action | Action Specific Data | Readability | Attachment |
|------------------|------------|----------|-----------------|------------------|----------------------|-------------|------------|
| Approved | Loss Payee | U-CP-691 | l-10 02 | Endorseme New | | | U-CP-691-A |
| | Schedule | A CW | | nt/Amendm | | | CW 1002 |
| | | | | ent/Conditi | | | Loss Payee |
| | | | | ons | | | Sched.pdf |
| Approved | Mortgagee | U-CP-692 | 2-10 02 | Endorseme New | | | U-CP-692-A |
| | Schedule | A CW | | nt/Amendm | | | CW 1002 |
| | | | | ent/Conditi | | | Mort |
| | | | | ons | | | Sched.pdf |

| | | | Policy Number |
|-----------------|---|---------------------------|--|
| | | SCHEDULE OF LOSS PAYEE(S) | |
| Named Insured | | | Effective Date: 12:01 A.M., Standard Time |
| Agent Name | | | Agent No. = |
| Loc. Bldg. No.= | | Loss Payee and Mailing | Address |
| = | = | = | |
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| | | Policy Number | | | | |
|-------------------------|--------------------------------|--|--|--|--|--|
| | SCHEDULE OF MORTGAGE HOLDER(S) | | | | | |
| Named Insured | | Effective Date: 12:01 A.M., Standard Time | | | | |
| Agent Name | | Agent No. = | | | | |
| Loc. Bldg. No.= No.= | | Mortgage Holder Name and Mailing Address | | | | |
| = | = | = | | | | |
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Company Tracking Number: CW CF 27615

TOI: 01.00 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number: /

Rate Information

Rate data does NOT apply to filing.

Company Tracking Number: CW CF 27615

TOI: 01.0 Property Sub-TOI: 01.0001 Commercial Property (Fire and Allied

Lines)

Product Name: CW CF 27615

Project Name/Number:

Supporting Document Schedules

Review Status:

Satisfied -Name: Uniform Transmittal Document- Approved 08/15/2008

Property & Casualty

Comments: Attachment:

AR PCTD 0307 ZP.pdf

Review Status:

Satisfied -Name: Filing Memo Approved 08/15/2008

Comments:
Attachment:
MEMO.pdf

Property & Casualty Transmittal Document

| 1. | 1. Reserved for Insurance 2. Insurance Department Use only | | | | | | | |
|---------------------------------|--|---|---|------------------|--------------------|--------------------------|-------|---------------------|
| | Dept. Use Only | a. Date the filing is received: | | | | | | |
| | | b. Analyst: | | | | | | |
| | | | isposition: | | | | | |
| | | d. Date of disposition of the filing: | | | | | | |
| | | | ffective date of | | , mmg. | | | |
| | | C. El | New Business | | | | | |
| | | | Renewal Busin | iess | | | | |
| | | f. St | ate Filing #: | 1033 | | | | |
| | | | ERFF Filing #: | | | | | |
| | | | abject Codes | | | | | |
| 2 | C. N | 111. 50 | | | | | | C NAIC# |
| 3. | Group Name Zurich North America | | | | | | | Group NAIC # 212 |
| 4 | | | | | TO // | DEET // | | |
| 4. | Company Name(s) | | Domicile NE | | AIC # | FEIN # | | State # |
| | Empire Fire & Marine Insurance | e Company | NE | 21. | 326 | 47-6022 | 2/01 | |
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| • | | | | | | | | |
| • | | | | | | | | |
| 5. | Company Tracking Number | | CW CA 27039A | | | | | |
| | tact Info of Filer(s) or Corpora | | | | herl | | | |
| 6. | Name and address | Title | Telepho | | FAX | # | | e-mail |
| | Dannielle Curry | | | 444 | 847-605-7 | 769 | 1 ' | elle.curry@zurich |
| | | Filing | 847-706-24 | 411 | 047-003-7 | /00 | aannı | circ.curry C Zurren |
| | 1400 American Lane | Filing Analyst | 847-706-24 | 411 | 047-005-7 | | na.co | • |
| | | _ | 847-706-24 | 411 | 847-003-7 | | | • |
| | 1400 American Lane | _ | 847-706-24 | ¥11 | 847-003-7 | | | • |
| | 1400 American Lane | _ | 847-706-24 | 1 11 | 847-003-7 | | | • |
| | 1400 American Lane | _ | 847-706-24 | ¥111 | 847-003-7 | | | • |
| 7 | 1400 American Lane Schaumburg, IL 60196 | _ | 847-706-24 | 1 11 | 847-003-7 | | | • |
| 7. | 1400 American Lane | _ | 847-706-24 | ¥11 | 847-003-7 | | | • |
| 7. | 1400 American Lane Schaumburg, IL 60196 | Analyst | 847-706-24 Dannielle | | 847-003-7 | | | • |
| 8. | 1400 American Lane Schaumburg, IL 60196 Signature of authorized filer | Analyst zed filer | Dannielle | Curry | | | | • |
| 8. Fili | 1400 American Lane Schaumburg, IL 60196 Signature of authorized filer Please print name of authorized filer Ing information (see General Interpretation) | Analyst zed filer Instruction | Dannielle s for description 1.000 | Curry | | | | • |
| 8. Fili 9. 10. | 1400 American Lane Schaumburg, IL 60196 Signature of authorized filer Please print name of authorized filer Ing information (see General Interpretation) Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type of Insurance (Sub-Type Insurance (Su | Zed filer Instruction: | Dannielle s for description | Curry | | | | • |
| 8. Fili | 1400 American Lane Schaumburg, IL 60196 Signature of authorized filer Please print name of authorized filer Ing information (see General Interpretation) | Zed filer Instruction: FOI) | Dannielle s for description 1.000 | Curry | | | | • |
| 8. Fili 9. 10. | Schaumburg, IL 60196 Signature of authorized filer Please print name of authorized filer Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type State Specific Product code(s) | Zed filer Instruction: (if rements] | Dannielle s for description 1.000 | Curry | | | | • |
| 8. Filit 9. 10. 11. | Schaumburg, IL 60196 Signature of authorized filer Please print name of authorized filer Inginformation (see General Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type State Specific Product code(s) applicable) [See State Specific Requirements of the supplicable of the | Zed filer Instruction: (if rements] | Dannielle s for description 1.000 1.001 | Curry ns of the | ese fields) | Rates/Rule | na.co | • |
| 8. Filip 9. 10. 11. | Signature of authorized filer Please print name of authorized filer Please print name of authorized filer Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type State Specific Product code(s) applicable)[See State Specific Required Company Program Title (Mark | Analyst zed filer Instruction: FOI) (if rements] seting title) | Dannielle s for description 1.000 1.001 Rate/Loss Co | Curry ns of the | ese fields) Rules | Rates/Rule Rules/Fori | na.co | • |
| 8. Filip 9. 10. 11. | Signature of authorized filer Please print name of authorized filer Please print name of authorized filer Type of Insurance (TOI) Sub-Type of Insurance (Sub-Type State Specific Product code(s) applicable)[See State Specific Required Company Program Title (Mark | Analyst zed filer Instruction: FOI) (if rements] seting title) | Dannielle s for description 1.000 1.001 | Curry ns of the | ese fields) Rules | Rates/Rule Rules/Fori | na.co | • |

PC TD-1 pg 1 of 2

Property & Casualty Transmittal Document---

| 15. | Deference Filing? | Yes | ⊠ No |
|---------------|---|-----------------|---|
| 16. | Reference Filing? Reference Organization (if applicable) | res | N 140 |
| 17. | Reference Organization (11 applicable) Reference Organization # & Title | | |
| 18. | Company's Date of Filing | 08/14/2008 | |
| 19. | Status of filing in domicile | Not File | ed Pending Authorized Disapproved |
| 17. | buttus of filling in dominent | Trot I lie | Tenung Truthonzed Disapproved |
| 20 | TT1 - 61 | 75 1 · // | CWI CD 25 C15 |
| 20. | This filing transmittal is part of Company | Tracking # | CW CF 27615 |
| 21. | Filing Description [This area can be used in lie | eu of a cover l | etter or filing memorandum and is free-form text] |
| | | | consistent for property policies. The current enough to list all morgagees/loss payees. |
| | Filing Food (Files must may ide about # and f | oo om ovet if | opplicable) |
| 22. | Filing Fees (Filer must provide check # and for [If a state requires you to show how you calculate the state requires you to show how you calculate the state of the state o | | |
| | neck #: EFT mount: 50.00 | | |
| Refe fees. | | state specifi | c requirements or instructions on calculating |

***Refer to each state's checklist for additional state specific requirements (i.e. # of additional copies required, other state specific forms, etc.)

These pages are informational only and do not need to be submitted with your filings!

Notes for Uniform Property & Casualty Transmittal Document

DESCRIPTION OF ITEMS IN THE PROPERTY AND CASUALTY TRANSMITTAL DOCUMENT

- **1. Reserved for Insurance Dept. Use Only**—this section is for anything the Dept. wishes to capture—such as date stamps, approval stamps, check routing numbers, accounting codes, etc.
- **2. Insurance Department Use Only Box:** Includes the following information: (It is up to the state to determine which, if any, of this info they wish to record—or it may be recorded in #1 box with stamps (for example))
 - a. Date the filing is received by the Insurance Dept.
 - **b.** Analyst—lead analyst who reviewed the filing and assigns final disposition
 - **c. Disposition**—this is the disposition that the Dept. assigns—authorized, approved, filed, withdrawn, disapproved, informational only, etc.
 - d. Date of Disposition of the filing—date filing is finished
 - **e.** Effective Date of the Filing-date the filing goes into effect. This date may vary by state—it might be the "approval" date in some states. It might be the implementation date in some states. It might be the received date in some states. The Dept. should use the date that is applicable in their state.
 - **f. State Filling #:** The number the state assigns to the filing (if applicable).
 - **g. SERFF Filing #:** Some states may use SERFF to track paper filings and will use that SERFF assigned number.
 - **h. Subject Codes** This field is intended to capture one or more Subject Codes for states to track particular attributes of a filing, such as mold exclusions. The codes or terms used would be variable by state.
- 3. Group Name and Group NAIC # as assigned by NAIC.
- **4. Company Name(s), State of Domicile, NAIC** #, **FEIN#, State** #: Every company to which this filing applies must be listed and the company information must be supplied, with the exception of the State # (the company specific code) if not available or not required by the filing jurisdiction. A filing that lists a group without supplying company info will not be accepted in most states.
- 5. Company Tracking Number: The filing number assigned by the insurance company, if any.
- **6. Contact Info of Filer or Corporate Officer:** The company should supply the information on the person the state should contact if there is a question/problem with the filing. If there is more than one person (perhaps, one for rates, one for forms) then both should be listed.
- **7. Signature of authorized filer:** Some states require a signature of the authorized filer. If the filer is third party, a letter of authorization from the insurer must be submitted according to state requirements.
- **8. Please print name of authorized filer:** So we can decipher #7 above!
- **9. Type of Insurance (TOI):** Refer to Uniform Property & Casualty Product Coding Matrix. This corresponds to the column entitled "SERFF Type of Insurance" and roughly corresponds to the annual statement line of business.
- **10**. **Sub-type of Insurance (Sub-TOI):** Refer to Uniform Property & Casualty Product Coding Matrix). This corresponds to the column entitled "SERFF Sub-Type of Insurance".
- 11. State Specific Product code(s): See State Specific Requirements for these codes
- 12. Company Program Title: Marketing title, if applicable.
- 13. Filing Type: Choices are Rate/Loss Cost; Rules; Rates/Rules; Forms; Withdrawal; Other.

- **14. Effective Date Requested:** This is the effective date the company requested when they made the filing. It is not necessarily the date the filing officially becomes effective. This is also where the company can indicate the different effective dates for new or renewal business.
- **15. Reference Filing:** Yes/No
- **16. Reference Organization** (**if applicable**): The name of the advisory organization—i.e. ISO, NCCI, AAIS, etc. or an Insurance Company name if "me too filing" is permitted. Some states allow companies to reference another company's filing. A "me too" filing is when one company adopts another company's filing. Usually they are not part of the same group. You should check with each state to determine their rules on these filings. If permitted, use this area to indicate either an advisory organization name or "me too" company name.
- **17. Reference Organization Number & Title (if applicable):** This is the unique number that the reference organization gives to the filing. It is generally not the same number as the circular number.
- 18. Company's Date of filing: The date the company sends the filing.
- 19. Status of filing in domicile: Place for the company to show if filing has been filed in domicile and its status.
- **20.** This filing transmittal is part of Company Tracking #: This ties all of the pages of the transmittal to the same filing. It is helpful for the state.
- 21. Filing Description: This area can be used in lieu of a cover letter or filing memorandum and is free-form text.
- **22. Filing Fees:** Please refer to each state's checklist for additional state specific requirements or instructions on calculating fees.

Empire Fire and Marine Filing Memorandum

Forms filing to make all mortgagee/loss payee schedules consistent for property policies. The current space on the declaration pages is frequently not large enough to list all mortgagees/loss payees, so we need to use these schedules.

We request an effective date of 11/01/2008.